



**OFFICE OF FISCAL AND PROGRAM REVIEW**

**Medicaid Expenditures and Caseload Update**

**as presented to the  
AFA Committee on 01-05-21**

# **Part A**

## **Section 1**

### **Medicaid Expenditure and Caseload Monthly E-mail**

**From:** Lazure, Luke  
**Sent:** Friday, November 20, 2020 2:03 PM  
**To:** Legislature: Committee on Appropriations and Financial Affairs <AFAMembers@legislature.maine.gov>  
**Cc:** Shorey, Mandy <Mandy.Shorey@legislature.maine.gov>; Broome, Anna <Anna.Broome@legislature.maine.gov>; Nolan, Christopher <Christopher.Nolan@legislature.maine.gov>; Dooling, Erin <Erin.Dooling@legislature.maine.gov>  
**Subject:** Caseload SFY 2021 October and Week 14 Expenditure Report

Attached are the most recent, for September 2021, Department of Health and Human Services (DHHS) MaineCare Caseload and Expenditure Reports (Excel and PDF versions).

**Just like previous months I am including more information below, as we try and identify how COVID-19 is impacting Medicaid expenditures and caseload:**

First, since March, the average monthly caseload increase has been around 4,700 new members. There was an increase in the caseload for August of 3,895 members and for September of 3,238 members. These are the first monthly increases that were significantly below 4,500 members. We will need to keep an eye on this trend and see if this slight dip continues. This increase is still up from the recent 6 month average before COVID-19 hit (Sept - Feb) of 1,200 members a month. About 40% of these new members are in the expansion group and get 90% federal match, while the majority of the remaining increase (there is also a slight increase in the populations that receive the CHIP FMAP) was in the traditional population which gets the regular FMAP. It should be noted that for the remainder of the federal emergency, the regular FMAP has been increased by 6.2%.

Second, I also looked at the expenditures through September of 2020. The average weekly cycle through the end of March 2020 was \$61.4 million. The average weekly cycle through the end of September 2020 has increased to \$63.0 million. This means that the average weekly cycle, since COVID-19 began, is up by \$1.5 million. That \$1.5 million increase is a 2.5% increase in the weekly cycle. This does not mean that COVID's fiscal impact on MaineCare is \$1.5 million a week, as other factors impact this increased cycle. This does however, paint the picture that expenditures are increasing, as is membership, in MaineCare. Without claims data, I cannot pinpoint claims that can be attributed to the increased membership in MaineCare since March. We will keep tabs on this increase as we move forward in SFY 2021.

**For more information please see our quarterly review of expenditures, cycles and caseload on the Other Fiscal Information section of the OFPR page through the 1<sup>st</sup> Quarter of SFY 2021 at the following address: <http://legislature.maine.gov/ofpr/other-fiscal-information/9311>**

**We will keep an eye on the caseload and expenditure trends and update you all further as more information becomes available.**

Please let us know if you have any questions regarding these documents.

Regards,

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**Part A**

**Section 2**

**Medicaid Expenditures -**  
**DHHS Weekly File through 14**  
**weeks**



MIHMS FINANCIAL CYCLES FOR SFY 2020

CYCLE DATA																						
WEEK #	WEEK ENDING DATE	MAIN CYCLE CHECK DATE	NUMBER OF CHECKS	Advantage CYCLE TOTAL	Balance Sheet		HIT Incentive 3169 020-10A-012903	Translation (3010)		TRANSLATION CHIP (3310)		CHILD WELFARE 010-10A-013901	BUREAU OF HEALTH 013-10A-014303	GEN. FUND 010-10A-014701	MAP		CHIP		NF			
					0025 010-10A-unknown	014-10A-unknown		GEN. FUND 010-10A-012901	FED. FUND 013-10A-012901	010-10A-012901Y	015-10A-012901Y				FED. FUND 013-10A-014701	ARRA FUND 020-10A-014701	GEN. FUND 010-10A-014701Y	FUND 015-10A-014701Y	GEN. FUND 010-10A-014801	FED.FUND 013-10A-014801	ARRA FUND 020-10A-014801	
1	07/03/20	07/01/20	2,281	49,792,685.33	(131,782.44)	591.87	-	11,951.02	35,853.97	8.12	31.88	29,752.85	-	3,054.84	8,833,964.85	34,993,261.87	-	63,220.14	602,992.73	160,007.61	459,121.52	-
2	07/10/20	07/08/20	2,645	49,289,961.36	(121,020.84)	-	-	10,123.35	30,370.70	16.26	63.74	25,598.03	-	4,766.11	9,332,727.46	33,859,748.58	(6.48)	58,769.82	552,379.86	457,567.71	1,456,942.68	-
3	07/17/20	07/15/20	2,357	97,227,994.26	(130,007.83)	14,274.58	-	19,091.52	57,275.98	34.57	135.43	22,000.69	-	4,409.27	12,635,001.79	48,747,623.23	(1.80)	78,774.67	743,183.44	7,251,448.32	18,657,154.47	-
4	07/24/20	07/22/20	2,261	51,504,713.77	(70,813.51)	-	-	20,870.90	62,613.03	40.24	157.76	27,109.44	-	3,378.72	8,880,284.87	35,176,092.77	-	58,877.53	560,835.16	526,091.96	1,459,220.36	-
5	07/31/20	07/29/20	2,268	50,421,072.45	(16,755.56)	-	-	40,323.75	120,999.90	61.00	239.00	36,076.69	-	4,758.92	8,885,211.05	34,565,074.09	(37.97)	65,206.50	621,062.74	477,782.08	1,305,732.85	-
6	08/07/20	08/05/20	2,656	48,653,384.94	(26,834.93)	-	-	25,728.43	77,165.42	97.60	382.40	22,893.57	-	7,327.27	9,304,857.69	34,164,370.61	-	63,714.80	606,577.68	207,318.55	563,460.59	-
7	08/14/20	08/12/20	2,398	105,206,238.77	(75,549.10)	-	-	18,000.43	54,003.32	20.33	79.67	27,640.20	-	6,871.45	14,418,182.65	54,743,846.52	-	90,225.28	859,849.60	6,980,791.39	18,363,703.28	-
8	08/21/20	08/19/20	2,285	54,597,069.51	(40,980.23)	-	-	19,002.17	57,008.08	36.19	141.81	26,513.63	-	6,604.78	8,930,492.28	36,355,499.00	(5.02)	69,290.75	659,741.00	1,048,397.32	2,691,349.20	-
9	08/28/20	08/26/20	2,368	55,352,518.60	(49,289.81)	-	-	17,416.34	52,252.94	56.03	218.97	31,638.15	-	5,468.02	8,974,145.16	38,612,123.67	-	68,595.92	651,568.44	387,633.47	1,148,870.25	-
10	09/04/20	09/02/20	2,269	48,497,342.70	(32,513.86)	-	-	16,252.96	48,767.16	10.56	39.44	27,859.67	-	5,334.09	9,503,611.29	34,418,067.26	(877.92)	66,835.18	637,174.22	145,615.06	582,823.85	-
11	09/11/20	09/09/20	2,831	111,299,352.37	(59,798.11)	-	-	25,495.71	76,488.54	105.75	414.25	28,060.99	-	5,298.02	16,840,057.95	60,711,507.44	(72.47)	91,565.18	872,234.35	6,645,642.97	17,224,004.29	-
12	09/18/20	09/16/20	2,222	52,517,792.64	(22,514.32)	-	-	30,141.76	90,421.33	36.59	143.41	22,041.62	-	5,310.97	8,817,419.69	34,196,372.72	(30.62)	57,216.43	545,280.45	1,156,473.17	3,497,053.87	-
13	09/25/20	09/23/20	2,329	57,480,003.35	(26,572.55)	-	-	21,196.70	63,715.76	12.19	47.81	26,401.25	-	4,476.28	8,922,508.87	38,485,426.29	-	70,748.66	675,810.78	772,500.66	2,224,056.66	-
14	10/02/20	09/30/20	2,291	50,185,063.96	(58,401.85)	1,497.45	-	18,395.46	55,102.67	166.77	653.23	26,655.88	-	6,375.24	11,315,997.37	33,564,255.60	-	60,999.93	581,496.64	410,731.56	1,027,863.54	-
15	10/09/20	10/07/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
16	10/16/20	10/14/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17	10/23/20	10/21/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
18	10/30/20	10/28/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19	11/06/20	11/04/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
20	11/13/20	11/11/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
21	11/20/20	11/18/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
22	11/27/20	11/25/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23	12/04/20	12/02/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
24	12/11/20	12/09/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
25	12/18/20	12/16/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
26	12/25/20	12/23/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
27	01/01/21	12/30/20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
28	01/08/21	01/06/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
29	01/15/21	01/13/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
30	01/22/21	01/20/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
31	01/29/21	01/27/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
32	02/05/21	02/03/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
33	02/12/21	02/10/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
34	02/19/21	02/17/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
35	02/26/21	02/24/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
36	03/05/21	03/03/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
37	03/12/21	03/10/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
38	03/19/21	03/17/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
39	03/26/21	03/24/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
40	04/02/21	03/31/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
41	04/09/21	04/07/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
42	04/16/21	04/14/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
43	04/23/21	04/21/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
44	04/30/21	04/28/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
45	05/07/21	05/05/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
46	05/14/21	05/12/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
47	05/21/21	05/19/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
48	05/28/21	05/26/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
49	06/04/21	06/02/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
50	06/11/21	06/09/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
51	06/18/21	06/16/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
52	06/25/21	06/23/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
53	07/02/21	06/30/21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>GRAND-TOTALS</b>			33,461	882,025,194.01	-862,834.94	16,363.96	0.00	293,990.50	882,038.80	702.20	2,748.80	380,242.66	0.00	73,433.98	145,594,462.97	552,593,269.65	-1,032.28	964,040.79	9,170,187.05	26,628,001.83	70,661,357.41	0.00
<b>AVERAGE CYCLE FOR SFY19</b>			2,390	63,001,799.57	(61,631.07)	1,168.85	-	20,999.32	63,002.77	50.16	196.34	27,160.19	-	5,245.28	10,399,604.50	39,470,947.83	(73.73)	68,860.06	655,013.36	1,902,000.13	5,047,239.82	-

10A																		
WEEK #	WEEK ENDING DATE	9972/9975 010-10A-020201	Money Follows The Person 010-10A-042001	024-10A-020201	2080 010-10A-Z00801	9980 010-10A-Z00901	ADULT MENTAL HEALTH 010-10A-Z20140	OSA 010-10A-Z20241	OSA 024-10A-Z20241	CHILDRENS RM & BD 010-10A-Z20607	CHILDRENS BEHAVIORAL HLTH 010-10A-Z20780	ADULT DS 010-10A-Z21050	DS WAIVER 010-10A-Z21159	DEVELOPMENTAL SERV SUPPORTS WAIVER 010-10A-Z21254	MEDICAID WAIVER FOR OTHER RELATED CONDITIONS 010-10A-Z21756	MEDICAID WAIVER FOR BRAIN INJ RES/COMM SERV 010-10A-Z21858	014-10A-012901	
1	07/03/20	4,478.93	-	25.88	-	305,721.19	373,912.20	98,021.64	8,851.54	152,330.99	714,701.78	134,800.79	2,581,253.87	317,189.90	11,651.42	27,714.37	-	
2	07/10/20	2,823.22	-	-	-	166,841.60	294,575.51	79,617.75	16,255.39	44,457.17	383,063.21	258,970.33	1,943,871.80	396,353.68	12,491.32	22,593.40	-	
3	07/17/20	3,008.67	-	-	-	2,129,030.92	1,197,866.60	91,543.60	14,596.36	66,744.64	598,580.78	945,669.19	3,455,963.50	429,567.86	40,108.10	154,915.71	-	
4	07/24/20	72,304.13	-	62.65	-	152,762.27	296,628.80	86,670.60	9,469.00	128,474.18	718,506.31	331,792.39	2,683,442.65	189,101.31	27,409.14	103,331.11	-	
5	07/31/20	2,823.88	-	-	-	131,723.94	474,242.04	82,874.27	8,803.52	87,094.33	637,100.20	283,426.35	2,219,844.36	316,317.06	10,194.05	60,893.41	-	
6	08/07/20	5,211.45	-	-	-	104,315.78	339,896.62	110,940.87	8,300.26	47,347.31	487,627.06	151,753.37	2,069,160.23	239,182.81	15,751.24	56,838.26	-	
7	08/14/20	2,460.35	-	4.93	-	2,088,262.97	1,116,787.40	53,103.99	8,806.79	162,471.86	908,742.74	1,130,799.08	3,288,787.63	673,464.19	20,991.39	263,890.43	-	
8	08/21/20	3,680.39	-	-	-	169,297.88	509,087.39	42,588.71	9,357.66	13,809.95	347,167.58	220,481.41	2,901,226.94	343,497.25	50,622.67	163,160.72	-	
9	08/28/20	3,703.42	-	12.11	-	138,675.93	747,815.93	49,776.09	21,988.31	99,553.42	1,183,930.05	290,177.95	2,390,990.09	336,713.81	12,011.62	176,472.32	-	
10	09/04/20	3,946.51	-	12.22	-	108,704.43	273,896.43	42,949.44	8,569.13	78,488.22	404,302.04	240,516.94	1,630,826.61	232,260.39	14,255.54	39,615.84	-	
11	09/11/20	3,333.18	-	-	-	1,948,546.22	1,124,417.59	43,137.58	28,098.54	76,121.10	686,856.11	877,775.33	3,197,516.02	699,083.70	23,414.40	130,047.74	-	
12	09/18/20	3,624.35	-	-	-	222,159.26	406,192.40	35,043.19	11,712.87	87,652.26	648,712.00	320,402.48	1,943,387.51	257,903.61	35,909.52	149,726.12	-	
13	09/25/20	4,151.90	-	-	-	197,667.99	721,250.21	47,404.04	8,202.99	115,440.72	806,863.42	349,597.74	3,370,561.03	384,593.38	29,560.99	204,379.58	-	
14	10/02/20	5,160.40	-	-	-	115,962.69	343,458.80	44,161.71	8,966.08	81,474.66	455,489.69	166,168.37	1,678,296.67	235,659.82	10,573.06	27,902.52	-	
15	10/09/20																	
16	10/16/20																	
17	10/23/20																	
18	10/30/20																	
19	11/06/20																	
20	11/13/20																	
21	11/20/20																	
22	11/27/20																	
23	12/04/20																	
24	12/11/20																	
25	12/18/20																	
26	12/25/20																	
27	01/01/21																	
28	01/08/21																	
29	01/15/21																	
30	01/22/21																	
31	01/29/21																	
32	02/05/21																	
33	02/12/21																	
34	02/19/21																	
35	02/26/21																	
36	03/05/21																	
37	03/12/21																	
38	03/19/21																	
39	03/26/21																	
40	04/02/21																	
41	04/09/21																	
42	04/16/21																	
43	04/23/21																	
44	04/30/21																	
45	05/07/21																	
46	05/14/21																	
47	05/21/21																	
48	05/28/21																	
49	06/04/21																	
50	06/11/21																	
51	06/18/21																	
52	06/25/21																	
53	07/02/21																	
<b>GRAND-</b>		120,710.78	0.00	117.79	0.00	7,979,673.07	8,220,027.92	907,833.48	171,978.44	1,241,460.81	8,981,642.97	5,702,331.72	35,355,128.91	5,050,888.77	314,944.46	1,581,481.53	0.00	
<b>AVERAGE CYC</b>		8,622.20	-	8.41	-	569,976.65	587,144.85	64,845.25	12,284.17	88,675.77	641,545.93	407,309.41	2,525,366.35	360,777.77	22,496.03	112,962.97	-	

<b>MaineCare Cycle Summary for FY 2021</b>			
<b>For Week</b>	14		
<b>WEEK #</b>	<b>MIHMS CYCLE TOTAL</b>	<b>MEPOPS CYCLE TOTAL</b>	<b>CYCLE TOTAL</b>
1	42,497,280.50	7,295,404.83	49,792,685.33
2	41,887,265.89	7,402,695.47	49,289,961.36
3	90,057,725.04	7,170,269.22	97,227,994.26
4	43,392,116.18	8,112,597.59	51,504,713.77
5	42,782,832.94	7,638,239.51	50,421,072.45
6	41,102,528.44	7,550,856.50	48,653,384.94
7	97,780,825.65	7,425,413.12	105,206,238.77
8	46,486,359.73	8,110,709.78	54,597,069.51
9	47,740,043.53	7,612,475.07	55,352,518.60
10	40,705,934.97	7,791,407.73	48,497,342.70
11	103,255,094.81	8,044,257.56	111,299,352.37
12	44,701,447.19	7,816,345.45	52,517,792.64
13	49,156,490.57	8,323,512.78	57,480,003.35
14	42,077,535.56	8,107,528.40	50,185,063.96
15			0.00
16			0.00
17			0.00
18			0.00
19			0.00
20			0.00
21			0.00
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31			0.00
32			0.00
33			0.00
34			0.00
35			0.00
36			0.00
37			0.00
38			0.00
39			0.00
40			0.00
41			0.00
42			0.00
43			0.00
44			0.00
45			0.00
46			0.00
47			0.00
48			0.00
49			0.00
50			0.00
51			0.00
52			0.00
53			0.00
<b>GRAND TOTAL</b>	<b>773,623,481.00</b>	<b>108,401,713.01</b>	<b>882,025,194.01</b>
<b>AVERAGE CYCLE FOR SFY21</b>	<b>55,258,820.07</b>	<b>7,742,979.50</b>	<b>63,001,799.57</b>



**2020-21 MaineCare and Related State-Funded Services - Expenditures To-Date vs. Budget  
Through Cycle 14- Week Ending October 3, 2020**

Program	2019-20 Appropriation\ Allocation Thru 129th 1R	2019-20 Allotment	2019-20 Expenditures	2019-20 Unexpended Balance	2020-21 Appropriation\ Allocation Thru 129th 2R	2020-21 Allotment	YTD Expenditures as of 10/03/20 (Cycle 14 of 53)	2020-21 Average Allotment Per Week	2020-21 Average Expenditures Per Week	2020-21 Average Weekly Variance
<b>CYCLE APPROPRIATIONS</b>										
<b>MaineCare Accounts</b>										
General Fund										
014701 Payments to Providers (MAP)	\$471,986,844	\$574,892,389	\$503,730,799	\$71,161,590	\$526,846,797	\$436,940,719	\$129,613,473	8,244,165	\$9,258,105	(\$1,013,940)
014703 Accountable Communities Shared Savings	\$0	\$379,000	\$378,889	\$111	\$0	\$0	\$0	-	-	-
014801 Nursing Facilities	\$113,526,903	\$86,781,535	\$78,727,565	\$8,053,970	\$122,668,265	\$122,668,265	\$16,978,202	2,314,496	\$1,212,729	\$1,101,767
020201 Drugs for Maine Elderly	\$4,461,418	\$3,854,190	\$2,332,293	\$1,521,897	\$4,376,637	\$4,376,637	\$663,818	82,578	\$47,416	\$35,162
Z00901 State Boarding Homes	\$16,888,781	\$36,447,421	\$29,953,878	\$6,493,543	\$17,785,050	\$17,785,050	\$7,986,099	335,567	\$570,436	(\$234,869)
Z20140 Community MH (Replaced 073214)	\$40,423,625	\$28,183,265	\$26,682,746	\$1,500,519	\$40,660,075	\$40,660,075	\$5,883,908	767,171	\$420,279	\$346,892
Z20241 Substance Abuse (Replaced 084401)	\$5,643,201	\$6,432,790	\$3,447,822	\$2,984,968	\$5,681,926	\$5,681,926	\$907,833	107,206	\$64,845	\$42,361
Z20780 Child MH (Replaced 073117)	\$34,754,726	\$36,071,571	\$31,013,824	\$5,057,747	\$34,933,811	\$34,933,811	\$8,847,641	659,129	\$631,974	\$27,155
Z21050 Adult MR (Replaced 070512)	\$25,705,309	\$25,532,026	\$21,391,219	\$4,140,807	\$25,851,170	\$25,851,170	\$4,745,314	487,758	\$338,951	\$148,807
Z21159 Developmental Services Waiver (Replaced 098716)	\$127,418,410	\$128,802,032	\$113,102,534	\$15,699,498	\$132,400,807	\$132,400,807	\$29,681,761	2,498,128	\$2,120,126	\$378,002
Z21254 Develop. Services Waiver (Replaced Z00601)	\$29,120,175	\$31,159,281	\$21,950,328	\$9,208,953	\$32,143,655	\$32,143,655	\$4,876,089	606,484	\$348,292	\$258,192
Z21756 Other Related Cond. Waiver (Replaced Z15901)	\$2,983,953	\$3,716,734	\$1,322,317	\$2,394,417	\$3,474,273	\$3,474,273	\$314,944	65,552	\$22,496	\$43,056
Z21858 Brain Injury Waiver (Replaced Z16001)	\$7,368,424	\$8,349,963	\$5,991,471	\$2,358,492	\$7,393,448	\$7,393,448	\$1,384,950	139,499	\$98,925	\$40,574
Subtotal	\$880,281,769	\$970,602,197	\$840,025,685	\$130,576,512	\$954,215,914	\$864,309,836	\$211,884,032	16,307,733	\$15,134,574	\$1,173,159

**Part A**

**Section 3**

**Medicaid Caseload -**

**DHHS Caseload File through**

**September 2020**

# MaineCare Caseload, Count of Members, SFY 2012 - SFY 2021

Maine Department of Health and Human Services - Finance

SFY	SF PER	MO	Medicare Savings						TOTAL	Change	% Change
			Traditional Medicaid 1	Medicaid Expansion 2	"Cub Care" 2	Program Only and DEL	Medicaid Expansion				
2019	4	10/1/2018	213,719	6,739	4,807	33,676		258,941	(939)	-0.36%	
2019	5	11/1/2018	213,166	6,728	4,798	33,570		258,262	(679)	-0.26%	
2019	6	12/1/2018	210,350	7,323	4,742	33,527		255,942	(2,320)	-0.90%	
2019	7	1/1/2019	210,820	7,395	4,729	33,445	2,922	259,311	3,369	1.32%	
2019	8	2/1/2019	212,765	7,305	4,701	33,381	8,562	266,714	7,403	2.85%	
2019	9	3/1/2019	213,256	7,167	4,639	33,235	12,210	270,507	3,793	1.42%	
2019	10	4/1/2019	211,117	7,328	4,570	33,169	20,029	276,213	5,706	2.11%	
2019	11	5/1/2019	213,466	7,617	4,576	33,358	25,085	284,102	7,889	2.86%	
2019	12	6/1/2019	208,383	7,796	4,495	33,436	28,751	282,861	(1,241)	-0.44%	
2020	1	7/1/2019	207,856	7,980	4,541	33,385	33,344	287,106	4,245	1.50%	
2020	2	8/1/2019	205,439	8,290	4,532	33,406	38,841	290,508	3,402	1.18%	
2020	3	9/1/2019	204,499	8,526	4,542	33,589	41,347	292,503	1,995	0.69%	
2020	4	10/1/2019	202,958	8,776	4,602	33,800	44,275	294,411	1,908	0.65%	
2020	5	11/1/2019	200,962	8,869	4,630	33,905	46,609	294,975	564	0.19%	
2020	6	12/1/2019	199,290	8,838	4,719	33,914	48,660	295,421	446	0.15%	
2020	7	1/1/2020	200,419	8,953	4,720	33,793	49,123	297,008	1,587	0.54%	
2020	8	2/1/2020	200,339	9,136	4,731	34,122	49,504	297,832	824	0.28%	
2020	9	3/1/2020	202,183	9,285	4,801	34,280	51,632	302,181	4,349	1.46%	
2020	10	4/1/2020	206,340	9,249	4,921	34,221	54,534	309,265	7,084	2.34%	
2020	11	5/1/2020	209,753	9,157	4,833	34,339	56,856	314,938	5,673	1.83%	
2020	12	6/1/2020	212,307	9,158	4,781	34,521	58,734	319,501	4,563	1.45%	
2021	1	7/1/2020	214,225	9,359	4,504	34,686	61,221	323,995	4,494	1.41%	
2021	2	8/1/2020	215,858	9,340	4,562	34,905	63,225	327,890	3,895	1.20%	
2021	3	9/1/2020	216,925	9,582	4,587	35,072	64,962	331,128	3,238	0.99%	

**PARENT EXP 101-150% FPL**

Persons who function as the primary caretakers of dependent children and whose income is above 100% and up to and including 150% of FPL Effective January 1 2014, parents/caretakers relatives with income >100% FPL are no longer eligible for MaineCare coverage.

Roughly 75% of these people moved to transitional medicaid and 25% moved into new aid codes. During Jan 2015, the transitional costs are expected to roll off.

**PARENT EXP 151-200% FPL**

Persons who function as the primary caretakers of dependent children and whose income is above 150% and up to and including 200% of FPL

Effective March 1 2013, parents/caretakers relatives with income >133% FPL were are no longer eligible for MaineCare coverage.

Roughly 75% of these people moved to transitional medicaid and 25% moved into new aid codes. During Mar 2014, the transitional costs are expected to roll off.

**NON-CAT EXP 125% FPL**

Persons who are over 21 and under 65, not disabled, not the primary caretakers of dependent children, and whose income is not more than 125% of FPL

This group lost eligibiliy Jan 1 2014 and cannot flow into other services including Transitional Medicaid.

**MSP & DEL**

Persons eligible for Medicaid, but not for "full benefits" (e. g., QMBY, SLMB, QI) who meet the criteria for participation in DEL and/ or Maine Rx

**MEDICAID EXPANSION**

Medicaid Expansion began in January 2019.

**Part B**

**Section 1**

**Medicaid Expenditures -**  
**OFPR Quarterly Expenditures**  
**report through 1<sup>st</sup> Quarter of**  
**SFY 2021**

## OFPR Expenditure Review through 1st Quarter SFY 2021

### MaineCare Expenditure Detail through 1st Quarter of SFY 2021

MaineCare Expenditure Table 1 uses expenditure data from the State’s financial warehouse (MFASIS) through 3 months of FY 2021 to compare MaineCare expenditures by expenditure category for the last three fiscal years. MaineCare “All Funds” spending through 3 months of FY 2021 increased by \$107.1 million from FY 2020 to FY 2021. As detailed in MaineCare Table 1, FY 2021 spending through 3 months increased in the hospital services, residential care, HCBS waivers, pharmacy, devices and supplies, medical professionals, other professionals and home health expenditure categories; and decreased in the dentistry and Medicare A, B, D Premiums expenditure categories. These increases were expected due to three items: 1) Medicaid expansion expenses increased by just under \$60 million, 2) Rate increases and program changes to multiple services during the 129th legislature effective July 1, 2019, which have a greater impact in SFY 2021 than in SFY 2022. See Exhibit A for a detailed breakdown.

FY 2021 MaineCare “All Funds” spending through 3 months increased by 14.9% over last year’s spending. MaineCare Expenditure Table 1 also shows that aggregate General Fund expenditures through 3 months of FY 2021 decreased by 23.1% compared to FY 2020. This decrease was expected due to two large items: 1) In the 1<sup>st</sup> Quarter of SFY 2020 multiple journal entries, totaling \$58.5 million, were completed to transfer funds from the federal MaineCare account into a General Fund MaineCare account, causing an increase in General Fund expenditures in SFY 2020. This transfer was made due to multiple disallowances of Riverview Psychiatric Center Disproportionate Share funding and 2) Due to the COVID pandemic there is a temporary increase to the FMAP during the crisis of 6.2%. The current General Fund spending is in-line with the legislatively approved amount for FY 2021.

Exhibit B, at the end of this document, outlines all Medicaid Expansion spending since inception.

#### MaineCare Expenditure Table 1.

#### MaineCare and Related Expenditures Detail Through 3 Months

*All Funds - Through 3 Months*

Expenditure Categories	FY 2019	FY 2020	FY 2021	Chgs. FY 2020 to FY 2021	
	3 Months	3 Months	3 Months	\$	%
1 Hospital Services	\$127,338,301	\$157,567,099	\$187,337,091	\$29,769,993	18.9%
2 Residential Care	\$159,844,896	\$169,349,181	\$195,688,407	\$26,339,226	15.6%
3 HCBS Waivers	\$103,946,634	\$136,140,290	\$158,245,774	\$22,105,485	16.2%
4 Pharmacy and Related	\$35,604,998	\$43,153,505	\$53,192,571	\$10,039,066	23.3%
5 Devices and Supplies	\$5,724,407	\$7,225,867	\$8,501,257	\$1,275,390	17.7%
6 Medical Professionals	\$32,691,318	\$40,247,709	\$49,956,278	\$9,708,569	24.1%
7 Other Professionals	\$6,322,710	\$1,665,105	\$11,561,550	\$9,896,444	594.3%
8 Dentistry	\$5,989,053	\$5,704,413	\$4,729,476	(\$974,937)	-17.1%
9 Medicare Deductible and Co-insurance	\$23,255,445	\$22,229,115	\$21,897,626	(\$331,489)	-1.5%
10 Medicare A, B, D Premiums	\$43,968,327	\$49,253,599	\$46,926,808	(\$2,326,791)	-4.7%
11 Laboratory	\$2,397,570	\$2,887,422	\$2,921,192	\$33,770	1.2%
12 Health Homes	\$16,753,656	\$18,335,259	\$21,042,780	\$2,707,521	14.8%
13 Behavioral Health Services	\$45,341,577	\$45,848,177	\$52,139,798	\$6,291,622	13.7%
14 Clinic Services	\$12,450,396	\$15,982,645	\$16,953,120	\$970,475	6.1%
15 Home Health	\$3,646,720	\$2,780,495	\$3,496,072	\$715,577	25.7%
16 Rehabilitation Services	\$16,222,391	\$18,920,515	\$20,801,295	\$1,880,781	9.9%
17 Case Management	\$9,266,301	\$9,476,493	\$9,789,982	\$313,489	3.3%
18 School-Based Services	\$13,700,655	\$12,993,985	\$13,190,524	\$196,538	1.5%
19 Transportation Services	\$11,562,252	\$13,967,047	\$16,054,074	\$2,087,027	14.9%
20 Other Expenditure Codes	\$8,296,287	\$11,737,365	\$8,308,605	(\$3,428,759)	-29.2%
21 Accounting Adjustments	(\$2,517,401)	(\$53,594)	(\$8,228,387)	(\$8,174,793)	15253.1%
22 Other Adjustments	(\$5,647,991)	(\$4,513,630)	(\$6,430,208)	(\$1,916,578)	42.5%
<b>All Funds Total</b>	<b>\$676,158,504</b>	<b>\$780,898,061</b>	<b>\$888,075,686</b>	<b>\$107,177,625</b>	<b>13.7%</b>
Minus Accounting and Other Adjustmen	\$8,165,392	\$4,567,224	\$14,658,595	\$10,091,371	221.0%
<b>All Funds Adjusted Total</b>	<b>\$684,323,895</b>	<b>\$785,465,285</b>	<b>\$902,734,281</b>	<b>\$117,268,996</b>	<b>14.9%</b>
<b>General Fund Totals</b>	<b>\$213,951,075</b>	<b>\$285,392,066</b>	<b>\$210,648,962</b>	<b>(\$74,743,104)</b>	<b>-26.2%</b>
Minus Accounting and Other Adjustmen	\$4,549,101	\$1,654,448	\$10,157,445	\$8,502,997	513.9%
<b>General Fund Adjusted Totals</b>	<b>\$218,500,176</b>	<b>\$287,046,514</b>	<b>\$220,806,407</b>	<b>(\$66,240,107)</b>	<b>-23.1%</b>

<sup>1</sup> Includes bad debt write-offs and other adjustments (e.g., third party liability collections, audit settlements, etc.) that are not assigned to a specific expenditure category.

## OFPR Expenditure Review through 1st Quarter SFY 2021

### Exhibit A

#### Outline of All Funds Expenditure Increases through 1st Quarter of SFY 2021

#### Medicaid Expansion Impact - By Funds

*Through the 1st Quarter of SFY 2021*

Expenditure Categories	SFY 2020	SFY 2021	Difference
1 Hospital Services	\$ 29,965,108	\$ 90,451,931	\$ 60,486,823
2 Residential Care	\$ 1,428,696	\$ 2,917,894	\$ 1,489,198
3 HCBS Waivers	\$ 779,829	\$ 1,285,925	\$ 506,097
4 Pharmacy and Related	\$ 13,835,315	\$ 19,367,405	\$ 5,532,090
5 Devices and Supplies	\$ 715,932	\$ 1,461,034	\$ 745,102
6 Medical Professionals	\$ 6,188,371	\$ 12,751,356	\$ 6,562,985
7 Other Professionals	\$ 223,033	\$ 434,823	\$ 211,790
8 Dentistry	\$ 388,661	\$ 593,643	\$ 204,983
9 Medicare Deductible and Co-insurance	\$ 26,541	\$ 54,866	\$ 28,325
11 Laboratory	\$ 1,032,334	\$ 1,224,315	\$ 191,980
12 Health Homes	\$ 9,380	\$ 2,990,695	\$ 2,981,315
13 Behavioral Health Services	\$ 3,658,698	\$ 6,922,319	\$ 3,263,621
14 Clinic Services	\$ 3,565,805	\$ 5,062,527	\$ 1,496,723
15 Home Health	\$ 282,853	\$ 468,979	\$ 186,126
16 Rehabilitation Services	\$ 145,536	\$ 382,069	\$ 236,533
17 Case Management	\$ 237,819	\$ 393,040	\$ 155,221
18 School Based Services	\$ 13,431	\$ 1,972	\$ (11,459)
19 Transportation Services	\$ 2,679,130	\$ 4,382,614	\$ 1,703,483
20 Other Expenditure Codes	\$ 3,157	\$ 4,843	\$ 1,687
<b>Medicaid Expansion Total</b>	<b>\$ 65,179,628</b>	<b>\$ 151,152,250</b>	<b>\$ 85,972,622</b>

One-time journal entry to move a portion of the Prospective Interim Payments (PIPs) for the Critical Access Hospitals (CAHs) to Medicaid Expansion. This had a net zero impact on overall expenditures, but increased the difference for Medicaid Expansion between SFY 2020 and SFY 2021. (\$26,660,435.05)

**Medicaid Expansion total minus one-time PIP journal** **\$ 59,312,187**

*The expected increase in costs due to Rate and Program changes from SFY 2020 to SFY 2021.* \$47,054,739.50

*Other Professionals expenditure category Charge off journals to remove receivables from the* \$ 9,280,327.62

*Difference in the Accounting adjustments and the Other Adjustments expenditure categories.* (\$10,091,370.88)

**Total of all Differences** **\$ 105,555,883**

## OFPR Expenditure Review through 1st Quarter SFY 2021

### Exhibit B

#### Outline of All Funds Expenditures on Medicaid Expansion Since Inception

#### Medicaid Expansion Impact - by SFY

*Through 09/30/20*

Expenditure Categories	SFY 2019	SFY 2020	SFY 2021	Total
1 Hospital Services	\$ 27,510,310	\$ 128,494,255	\$ 90,451,931	<b>\$ 246,456,495</b>
2 Residential Care	\$ 1,280,714	\$ 8,246,273	\$ 2,917,894	<b>\$ 12,444,881</b>
3 HCBS Waivers	\$ 6,563	\$ 1,936,493	\$ 1,285,925	<b>\$ 3,228,982</b>
4 Pharmacy and Related	\$ 8,512,542	\$ 66,352,673	\$ 19,367,405	<b>\$ 94,232,619</b>
5 Devices and Supplies	\$ 508,123	\$ 3,921,730	\$ 1,461,034	<b>\$ 5,890,886</b>
6 Medical Professionals	\$ 5,673,060	\$ 31,143,933	\$ 12,751,356	<b>\$ 49,568,349</b>
7 Other Professionals	\$ 242,429	\$ 1,365,098	\$ 434,823	<b>\$ 2,042,350</b>
8 Dentistry	\$ 340,460	\$ 1,590,054	\$ 593,643	<b>\$ 2,524,157</b>
9 Medicare Deductible and Co-insurance	\$ 28,721	\$ 157,816	\$ 54,866	<b>\$ 241,404</b>
11 Laboratory	\$ 1,131,354	\$ 4,352,925	\$ 1,224,315	<b>\$ 6,708,593</b>
12 Health Homes	\$ 8,642	\$ 6,551,474	\$ 2,990,695	<b>\$ 9,550,810</b>
13 Behavioral Health Services	\$ 3,119,624	\$ 19,317,004	\$ 6,922,319	<b>\$ 29,358,948</b>
14 Clinic Services	\$ 4,250,471	\$ 15,747,370	\$ 5,062,527	<b>\$ 25,060,368</b>
15 Home Health	\$ 257,244	\$ 1,259,255	\$ 468,979	<b>\$ 1,985,479</b>
16 Rehabilitation Services	\$ 63,255	\$ 555,686	\$ 382,069	<b>\$ 1,001,009</b>
17 Case Management	\$ 211,703	\$ 1,160,948	\$ 393,040	<b>\$ 1,765,692</b>
18 School Based Services	\$ 5,034	\$ 47,675	\$ 1,972	<b>\$ 54,682</b>
19 Transportation Services	\$ 1,086,670	\$ 15,129,185	\$ 4,382,614	<b>\$ 20,598,469</b>
20 Other Expenditure Codes	\$ 1,535	\$ 12,632	\$ 4,843	<b>\$ 19,010</b>
<b>Medicaid Expansion Total</b>	<b>\$ 54,238,452</b>	<b>\$ 307,342,480</b>	<b>\$ 151,152,250</b>	<b>\$ 512,733,182</b>

**Part B**

**Section 2**

**Medicaid Caseload -**

**OFPR Quarterly Caseload**

**Report through 1<sup>st</sup> Quarter of**

**SFY 2021**

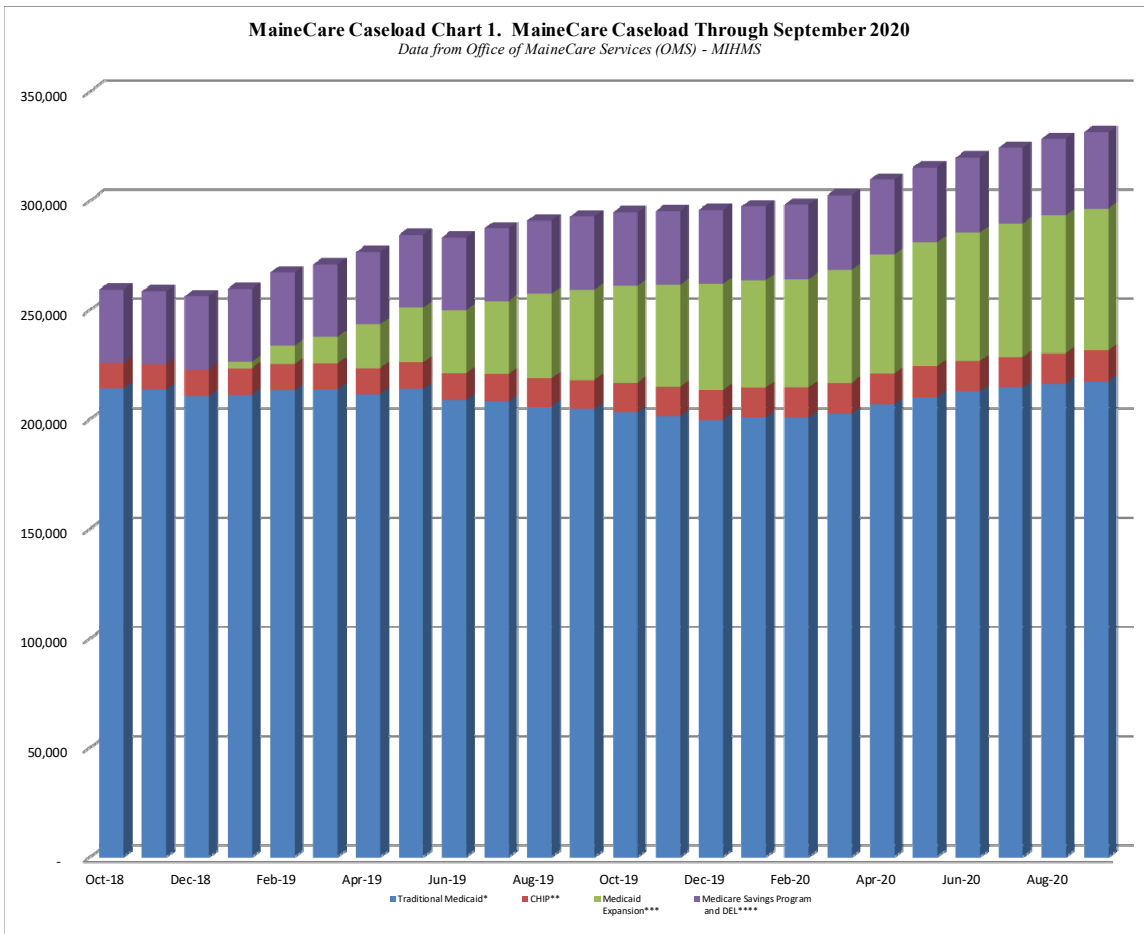


# OFPR Caseload Review through 1st Quarter SFY 2021

## MaineCare Caseload

MaineCare caseload data for FY 2021 released by the Department of Health and Human Services, Office of MaineCare Services (OMS) show aggregate MaineCare caseload continued to decrease until January of 2019, when Medicaid Expansion began. In the 1st quarter of SFY 2021 the caseload increased by 11,627 persons or an average of just over 3,800 persons a month. Over the last 12 months MaineCare caseload has increased by 38,625 persons (13.20%), or just over 3,200 persons per month.

MaineCare Caseload Chart 1 shows OMS caseload data over time, while MaineCare Caseload Table 1 shows the caseload summary over that period. MaineCare Caseload Chart 2 shows OMS caseload data by membership group over the last 6 months, while MaineCare Caseload Table 2 shows the caseload detail with membership categories, membership groups and age categories over the last 6 months. MaineCare Expansion totals are broken out on all the charts and tables below.



## OFPR Caseload Review through 1st Quarter SFY 2021

**MaineCare Caseload Table 1. MaineCare Caseload Summary**

*Source of OMS data - MIHMS*

Month	Traditional Medicaid*	CHIP**	Medicaid Expansion** *	Medicare Savings Program and DEL****	Total Caseload	Change	% Change
Oct-18	213,719	11,546		33,676	258,941	(939)	-0.36%
Nov-18	213,166	11,526		33,570	258,262	(679)	-0.26%
Dec-18	210,350	12,065		33,527	255,942	(2,320)	-0.90%
Jan-19	210,820	12,124	2,922	33,445	259,311	3,369	1.32%
Feb-19	212,765	12,006	8,562	33,381	266,714	7,403	2.85%
Mar-19	213,256	11,806	12,210	33,235	270,507	3,793	1.42%
Apr-19	211,117	11,898	20,029	33,169	276,213	5,706	2.11%
May-19	213,466	12,193	25,085	33,358	284,102	7,889	2.86%
Jun-19	208,383	12,291	28,751	33,436	282,861	(1,241)	-0.44%
Jul-19	207,856	12,521	33,344	33,385	287,106	4,245	1.50%
Aug-19	205,439	12,822	38,841	33,406	290,508	3,402	1.18%
Sep-19	204,499	13,068	41,347	33,589	292,503	1,995	0.69%
Oct-19	202,958	13,378	44,275	33,800	294,411	1,908	0.65%
Nov-19	200,962	13,499	46,609	33,905	294,975	564	0.19%
Dec-19	199,290	13,557	48,660	33,914	295,421	446	0.15%
Jan-20	200,419	13,673	49,123	33,793	297,008	1,587	0.54%
Feb-20	200,339	13,867	49,504	34,122	297,832	824	0.28%
Mar-20	202,183	14,086	51,632	34,280	302,181	4,349	1.46%
Apr-20	206,340	14,170	54,534	34,221	309,265	7,084	2.34%
May-20	209,753	13,990	56,856	34,339	314,938	5,673	1.83%
Jun-20	212,307	13,939	58,734	34,521	319,501	4,563	1.45%
Jul-20	214,225	13,863	61,221	34,686	323,995	4,494	1.41%
Aug-20	215,858	13,902	63,225	34,905	327,890	3,895	1.20%
Sep-20	216,925	14,169	64,962	35,072	331,128	3,238	0.99%

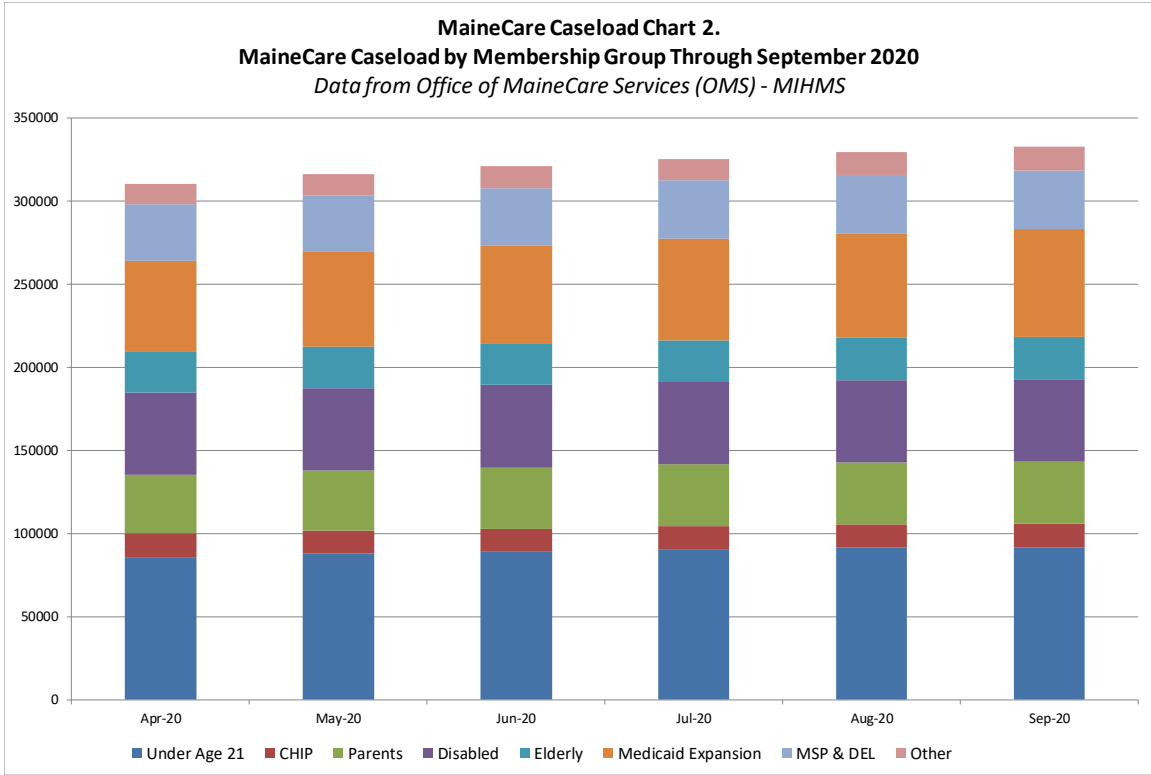
\*TRADITIONAL: Adults and children eligible for traditional Medicaid.

\*\*CHIP: Children with family incomes above 125/133%, based on age, and up to and including 200% of the Federal Poverty Level (FPL).

\*\*\*MEDICAID EXPANSION: Medicaid Expansion began in January 2019.

\*\*\*\*MSP & DEL: Persons not eligible for traditional Medicaid, but have family income up to 175% of the Federal Poverty Level (FPL) and are eligible for other federal and state programs such as: Medicaid Savings Plan (MSP) and Low-cost Drugs To Maine's Elderly (DEL).

## OFPR Caseload Review through 1st Quarter SFY 2021



**MaineCare Caseload Table 2. MaineCare Caseload Detail**  
*Source of OMS data - MIHMS*

Age Category	Membership Group	Membership Category	SFY 2020 Apr-20	SFY 2020 May-20	SFY 2020 Jun-20	SFY 2021 Jul-20	SFY 2021 Aug-20	SFY 2021 Sep-20
Under Age 21	Under Age 21	Under Age 21 - Age 18 and Under including Katie Beckett	78,471	80,099	81,366	82,728	83,561	83,873
Under Age 21	Under Age 21	Under Age 21 - Ages 19 and 20	939	979	1,051	1,103	1,137	1,184
Over Age 21	Under Age 21	Foster Care & Adoption Assist	6,539	6,524	6,509	6,527	6,583	6,624
Under Age 21	CHIP	CHIP - Medicaid Expansion	9,249	9,157	9,158	9,359	9,340	9,582
Under Age 21	CHIP	CHIP - Cub Care	4,921	4,833	4,781	4,504	4,562	4,587
<b>Under Age 21 Total</b>			<b>100,119</b>	<b>101,592</b>	<b>102,865</b>	<b>104,221</b>	<b>105,183</b>	<b>105,850</b>
Over Age 21	Parents	Parents of a Child Under Age 21 with Income <=100% FPL	34,820	36,140	36,748	37,405	37,532	37,313
Over Age 21	Disabled	Individuals who have a disabling condition (including Katie Beckett)	49,476	49,490	49,591	49,347	49,389	49,417
Over Age 21	Elderly	Individuals 65 Years or Older	24,784	24,891	24,888	25,172	25,315	25,474
Over Age 21	Medicaid Expansion	Childless Adults, Expansion	42,392	44,746	46,478	48,349	49,989	51,291
Over Age 21	Medicaid Expansion	Aliens Emergency Services	787	848	864	897	939	971
Over Age 21	Medicaid Expansion	Prisoners	720	707	721	810	807	974
Over Age 21	Medicaid Expansion	Unmet Spend-Down	940	791	745	739	687	597
Over Age 21	Medicaid Expansion	Parent, Caretaker, or Step-Parent	9,695	9,764	9,926	10,426	10,803	11,129
Over Age 21	Other	Transitional Medicaid	5,334	5,411	5,813	5,882	6,242	6,918
Over Age 21	Other	Pregnant Women	3,128	3,347	3,435	3,090	3,105	3,090
Over Age 21	Other	Temporary Coverage	15	16	6	4	13	9
Over Age 21	Other	Aged, State Sup Only	71	68	55	61	53	55
Over Age 21	Other	HIV Waiver Program	308	296	299	301	303	304
Over Age 21	Other	Prisoners	133	129	123	162	175	230
Over Age 21	Other	Alien	1,802	1,832	1,868	1,877	1,851	1,843
Over Age 21	Other	Spenddown	174	186	212	222	252	244
Over Age 21	Other	Breast and Cervical	151	149	146	145	142	135
Over Age 21	Other	Refugee						
Over Age 21	Other	FAMILY PLANNING	1,169	1,163	1,146	1,165	1,183	1,203
Over Age 21	Other	ORC Waivers	3	3	3	3	3	3
Over Age 21	Other	Age 21-26 Parents Insurance Policy	142	141	143	146	152	159
Over Age 21	Other	Brain Injury Waiver	50	52	51	50	50	50
Over Age 21	MSP & DEL	MSP & DEL - MAINECARE	34,221	34,339	34,521	34,686	34,905	35,072
<b>Over Age 21 Total</b>			<b>210,315</b>	<b>214,509</b>	<b>217,782</b>	<b>220,939</b>	<b>223,890</b>	<b>226,481</b>
			<b>310,434</b>	<b>316,101</b>	<b>320,647</b>	<b>325,160</b>	<b>329,073</b>	<b>332,331</b>