



STATE OF MAINE  
OFFICE  
OF THE  
SECRETARY OF STATE

SHENNA BELLOWS  
SECRETARY OF STATE

February 1, 2021

Suzanne Gresser, Executive Director  
Maine State Legislative Council  
115 State House Station  
Augusta, ME 04333-0115

Dear Executive Director Gresser,

Maine Revised Statutes Title 5, §8053-A, sub-§5, provides that by February 1<sup>st</sup> of each year, the Secretary of State shall provide the Executive Director of the Legislative Council with lists, by agency, of all rules adopted by each agency in the previous calendar year. I am pleased to present the report for 2020.

The list must include, for each rule adopted, the following information:

- A) The statutory authority for the rule and the rule chapter number and title;
- B) The principal reason or purpose for the rule;
- C) A written statement explaining the factual and policy basis for each rule;
- D) Whether the rule was routine technical or major substantive;
- E) If the rule was adopted as an emergency; and
- F) The fiscal impact of the rule.

In 2020, there were 256 rule adoption filings. Following is a list of the agencies with the number of adopted rule filings:

Umbrella number	Agency	Total Adopt	Routine Technical	Major Substantive	Emergency	Non Emergency
01	Department of Agriculture, Conservation and Forestry	34	34	0	20	14
02	Department of Professional and Financial Regulation	53	52	1	4	49
03	Department of Corrections	4	4	0	1	3
05	Department of Education	6	1	5	1	5
06	Department of Environmental Protection	4	4	0	0	4
09	Department of Inland Fisheries and Wildlife	14	14	0	1	13
10, 14	Department of Health and Human Services	45	40	5	14	31
12	Department of Labor	7	7	0	0	7
13	Department of Marine Resources	32	32	0	15	17
15	Department of Defense, Veterans and Emergency Management	1	1	0	0	1

Umbrella number	Agency	Total Adopt	Routine Technical	Major Substantive	Emergency	Non Emergency
16	Department of Public Safety	2	2	0	0	2
17	Department of Transportation	3	3	0	0	3
18	Department of Administrative and Financial Services	9	8	1	4	5
19	Department of Economic and Community Development	2	2	0	0	2
29	Secretary of State	7	7	0	1	6
65	Public Utilities Commission	11	10	1	1	10
90-590	Maine Health Data Organization	5	4	1	0	5
94-178	Kim Wallace Adaptive Equipment Loan Program Fund Board	1	1	0	0	1
94-293	Baxter State Park Authority	1	1	0	0	1
94-411	Maine Public Employees Retirement System	9	9	0	3	6
94-457	Finance Authority of Maine	2	2	0	0	2
99-346	Maine State Housing Authority	3	3	0	0	3
99-626	Maine Rural Development Authority	1	1	0	0	1
	<b>Totals for 2020</b>	<b>256</b>	<b>242</b>	<b>14</b>	<b>65</b>	<b>191</b>

The report is presented in multiple files:

- 1) An Excel spreadsheet, sorted by agency, that includes for each rule -- the agency name and umbrella unit and the rule chapter number, title, statutory authority, effective date, the rule type (i.e., routine technical or major substantive) and whether the rule is an emergency rule. The internal log number used by the APA office is also included so that this Bureau can easily find the complete filing if requested; and
- 2) A Word document for each agency that includes the above information for each rule, as well as the principal reason or purpose for adopting the rule, the basis statement and the fiscal impact of the rule. This information was extracted from the documentation that is required to be filed with our office for each adoption.

If further information is requested for any rule, this office can provide the complete filing which includes additional information including the dates and locations of any hearings held on the proposed rules and public comments received regarding the rule.

If you have any questions regarding the *Administrative Procedure Act*, please contact me at 626-8400 or Julie Flynn, Deputy Secretary of State, at the Bureau of Corporations, Elections and Commissions at 624-7650.

Sincerely,



Shenna Bellows  
Secretary of State